

Pound-Drever-Hall Error Signal Derivation

Derivation of PDH error signal, following March 18, 2026 tutorial here:

<https://ccahilla.github.io/lasers-and-optomechanics/tutorials/>

0. Fabry Perot cavity reflection as a function of phase

$$\text{refl}[\phi] := \frac{r_1 - r_2 \text{Exp}[-i 2 \phi]}{1 - r_1 r_2 \text{Exp}[-i 2 \phi]}$$

1. What is the total electric field incident on the front of the cavity?

Carrier input

$$\mathbf{E}_{\text{in}} = E_0 \text{Exp}[i \omega_0 t];$$

Carrier + Upper Sideband + Lower Sideband

$$\mathbf{E}_{\text{total}} = \mathbf{E}_{\text{in}} \left(1 + i \frac{\Gamma}{2} \text{Exp}[i \Omega t] + i \frac{\Gamma}{2} \text{Exp}[-i \Omega t] \right)$$

Net

$$e^{i t \omega_0} E_0 \left(1 + \frac{1}{2} i e^{-i t \Omega} \Gamma + \frac{1}{2} i e^{i t \Omega} \Gamma \right)$$

2. Write down three single-pass phases ϕ_0 , ϕ_{usb} , ϕ_{lsb} that the carrier, upper sideband, and

lower sideband, will experience as they traverse the cavity, in terms of the cavity length L ,

and carrier and RF frequencies ω_0 and Ω .

Where L is the nominal cavity length:

$$\text{params} = \left\{ \phi_0 \rightarrow \frac{\omega_0 L}{c}, \phi_{usb} \rightarrow \frac{(\omega_0 + \Omega) L}{c}, \phi_{lsb} \rightarrow \frac{(\omega_0 - \Omega) L}{c} \right\};$$

I have make this a list of parameters, so we can leave things in terms of $\phi_0, \phi_{usb}, \phi_{lsb}$, Notice that we can also do another phase breakdown where

$$\phi_{usb} = \phi_0 + \phi_{rf} \text{ and } \phi_{lsb} = \phi_0 - \phi_{rf}$$

where

$$\phi_{rf} = \frac{\Omega L}{c}.$$

3. Write down the cavity total reflected field E_{refl} in terms of the reflection function $r(\phi)$ and

ϕ_0 , ϕ_{usb} , ϕ_{lsb}

r_0 is the reflected carrier $refl[\phi_0]$,

$r_{p\Omega}$ is the reflected upper sideband $refl[\phi_{usb}]$,

$r_{m\Omega}$ is the reflected lower sideband $refl[\phi_{lsb}]$.

$$\text{reflparams} = \{r_0 \rightarrow refl[\phi_0], r_{p\Omega} \rightarrow refl[\phi_{usb}], r_{m\Omega} \rightarrow refl[\phi_{lsb}]\};$$

$$E_{refltotal} = E_{in} \left(r_0 + I \frac{\Gamma}{2} r_{p\Omega} \text{Exp}[I \Omega t] + I r_{m\Omega} \frac{\Gamma}{2} \text{Exp}[-I \Omega t] \right);$$

4. Write down the cavity total reflected power $P_{refl}(t)$ in terms of the reflection function $r(\phi)$

and ϕ_0 , ϕ_{usb} , ϕ_{lsb} .

Use `ComplexExpand`, which assumes all variables are real variables, with `Conjugate` to quickly calculate our power.

However, in Mathematica we need to be careful because r_0 , r_{usb} , and r_{lsb} are NOT real variables, they are complex.

So when we take the complex conjugate we need to keep track of them.

I keep track of this manually below, by replacing r_0 , r_{usb} , and r_{lsb} with r_0c , r_{usbc} , and r_{lsbc} , where the "c" suffix indicates complex conjugate.

Not elegant but it works.

TrigToExp expands our trig expressions we get from FullSimplify into exponentials, which is easier to reach given our starting point

```
reflparams = {r0c → refl[-ϕ0], rpΩc → refl[-ϕusb], rmΩc → refl[-ϕlsb]};
```

```
Erefltotalc =
```

```
TrigToExp[ComplexExpand[Conjugate[Erefltotal]]] /. {r0 → r0c, rpΩ → rpΩc, rmΩ → rmΩc}
```

```
Nt s202/√
```

$$e^{-iL\omega_0} E_0 r_0c - \frac{1}{2} i e^{iL\Omega - iL\omega_0} E_0 r_{m\Omega}c \Gamma - \frac{1}{2} i e^{-iL\Omega - iL\omega_0} E_0 r_{p\Omega}c \Gamma$$

```
Prefltotal = TrigToExp[FullSimplify[ComplexExpand[Erefltotal Erefltotalc]]]
```

```
Nt s2020/√
```

$$E_0^5 r_0 r_0c + \frac{1}{2} i e^{-iL\Omega} E_0^5 r_0c r_{m\Omega} \Gamma - \frac{1}{2} i e^{iL\Omega} E_0^5 r_0 r_{m\Omega}c \Gamma +$$

$$\frac{1}{2} i e^{iL\Omega} E_0^5 r_0c r_{p\Omega} \Gamma - \frac{1}{2} i e^{-iL\Omega} E_0^5 r_0 r_{p\Omega}c \Gamma + \frac{1}{4} E_0^5 r_{m\Omega} r_{m\Omega}c \Gamma^2 +$$

$$\frac{1}{4} e^{iL\Omega} E_0^5 r_{m\Omega}c r_{p\Omega} \Gamma^2 + \frac{1}{4} e^{-iL\Omega} E_0^5 r_{m\Omega} r_{p\Omega}c \Gamma^2 + \frac{1}{4} E_0^5 r_{p\Omega} r_{p\Omega}c \Gamma^2$$

```
Prefltotal = Prefltotal /. {Γ^2 → 0}
```

```
Nt s2021/√
```

$$E_0^2 r_0 r_0c + \frac{1}{2} i e^{-i\Omega t} E_0^2 r_0c r_{m\Omega} \Gamma -$$

$$\frac{1}{2} i e^{i\Omega t} E_0^2 r_0 r_{m\Omega}c \Gamma + \frac{1}{2} i e^{i\Omega t} E_0^2 r_0c r_{p\Omega} \Gamma - \frac{1}{2} i e^{-i\Omega t} E_0^2 r_0 r_{p\Omega}c \Gamma$$

5. Set the coefficient for $e^{-i\Omega t}$ equal to some complex number z , and the coefficient for $e^{i\Omega t}$ equal to y . What is z and y ?

Is there any connection between z and y ?

What is it?

First, Collect our $P_{\text{refl}}(t)$ by using $e^{-i\Omega t}$ and $e^{i\Omega t}$ coefficients.

```
In[22]: Collect[Prefltotal, {e^{i t \Omega}, e^{-i t \Omega}}
```

```
Out[22]:
```

$$E_0^2 r_0 r_{0c} + e^{i t \Omega} \left(-\frac{1}{2} i E_0^2 r_0 r_{m\Omega c} \Gamma + \frac{1}{2} i E_0^2 r_{0c} r_{p\Omega} \Gamma \right) + e^{-i t \Omega} \left(\frac{1}{2} i E_0^2 r_{0c} r_{m\Omega} \Gamma - \frac{1}{2} i E_0^2 r_0 r_{p\Omega c} \Gamma \right)$$

The first term above is the DC term, the second term associated with $e^{i t \Omega}$ is the y term, and the third term associated with $e^{-i t \Omega}$ is the z term:

```
In[23]: z = Simplify[ $\left( \frac{1}{2} i E_0^2 r_{0c} r_{m\Omega} \Gamma - \frac{1}{2} i E_0^2 r_0 r_{p\Omega c} \Gamma \right)$ ]
```

```
y = Simplify[ $\left( -\frac{1}{2} i E_0^2 r_0 r_{m\Omega c} \Gamma + \frac{1}{2} i E_0^2 r_{0c} r_{p\Omega} \Gamma \right)$ ]
```

```
Out[23]:
```

$$\frac{1}{2} i E_0^2 (r_{0c} r_{m\Omega} - r_0 r_{p\Omega c}) \Gamma$$

```
In[24]:
```

$$-\frac{1}{2} i E_0^2 (r_0 r_{m\Omega c} - r_{0c} r_{p\Omega}) \Gamma$$

Analyzing z and y we see that y is the complex conjugate of z. We can write $y = z^*$.

6. Rewrite P_{refl} in terms of z and y.

$$P_{\text{refl}} = E_0^2 r_0 r_{0c} + z^* e^{i t \Omega} + z e^{-i t \Omega}$$

7. $P_I + i P_Q = P^\Omega$. Show we can rewrite the demodulation in this way.

$\cos[\Omega t] + i \sin[\Omega t] = e^{i \Omega t}$, so we can combine our two real demodulations into a single complex demodulation. This will give us a complex number whose real and imaginary parts represent our usual I and Q outputs.

8. Calculate the 1Ω demodulated power $P_{\text{refl}}^\Omega(\phi_0, \phi_{usb}, \phi_{lsb})$.

What can we see pops out?

Looking at our P_{refl} expression above:

$$P_{\text{refl}} = E_0^2 r_0 r_{0c} + z^* e^{i t \Omega} + z e^{-i t \Omega}$$

it's clear that if we multiply by $e^{i \Omega t}$ and integrate P_{refl} by one cycle in Ωt , the DC term and $e^{i t \Omega}$ will go to $e^{i t \Omega}$ and $e^{2 i t \Omega}$, and will integrate to zero over one cycle.

BUT the $e^{-i t \Omega}$ term goes to stationary in time, so we should get exactly z out of our demodulation:

$$P_{\text{refl}}^\Omega = z.$$

```
Hz025\@ Prefl $\Omega$  = z
```

```
Nt s025\@
```

$$\frac{1}{2} i E_0^2 (r_{0c} r_{m\Omega} - r_{\theta} r_{p\Omega c}) \Gamma$$

9. Calculate the derivative of P_{refl}^{Ω} with respect to ϕ_0 , then evaluate it about zero.

First, we must substitute all instances of ϕ_0 : $\phi_{\text{usb}} \rightarrow \phi_0 + \phi_{\text{rf}}$, $\phi_{\text{lsb}} \rightarrow \phi_0 - \phi_{\text{rf}}$, as well as our reflection terms $r(\phi_0)$.

Then we take the derivative, then evaluate at $\phi_0 \rightarrow 0$.

```
Hz046\@ dPrefl $\Omega$ d $\phi_0$  = Simplify[
```

```
  D[Prefl $\Omega$  /. reflparams /. reflparams /. { $\phi_{\text{usb}} \rightarrow \phi_0 + \phi_{\text{rf}}$ ,  $\phi_{\text{lsb}} \rightarrow \phi_0 - \phi_{\text{rf}}$ ,  $\phi_0$ ]];
```

```
Hz047\@ dPrefl $\Omega$ d $\phi_0$ at0 = Simplify[dPrefl $\Omega$ d $\phi_0$  /. { $\phi_0 \rightarrow 0$ }]
```

```
Nt s047\@
```

$$-\frac{2(-1 + e^{2i\phi_{\text{rf}}}) E_0^2 r_1 (-1 + r_1^2) r_2 (-1 + e^{2i\phi_{\text{rf}}} r_2^2) \Gamma}{(-1 + r_1 r_2)^2 (-1 + e^{2i\phi_{\text{rf}}} r_1 r_2)^2}$$

10. Plot the real and imaginary parts of $P_{\text{refl}}^{\Omega}(\phi_0, \phi_{\text{usb}}, \phi_{\text{lsb}})$ as a function of $\phi_0 \in [-\pi, \pi]$.

Below we evaluate our P_{refl}^{Ω} signal.

The main signal appears in the real quadrature, with some small blip in Q-quadrature due to a slight overlap in carrier and RF sideband resonances.

The discriminant $dP_{\text{refl}}^{\Omega}/d\phi_0 \times \phi_0$ is plotted as a dashed line, and gives our optical gain about resonance.

This error signal has a very distinctive shape, and is highly non-linear outside the region of carrier resonance.

But inside the resonance, it is very discriminating, and able to provide an excellent, strong, linear error signal.

This can be detected with a *radio-frequency photodetector* (RFPD).

The RF sidebands do show up in our PDH error signal sweep, as the side lobes on either side of the carrier resonance.

The side lobes represent when the Upper Sideband or Lower Sideband starts to resonant inside the cavity.

The RF sidebands have a strong response in the imaginary quadrature, while the carrier does not.

```

In[13]: values = {L → 1, T1 → 0.1, T2 → 0.1, r1 →  $\sqrt{1-T1}$ , r2 →  $\sqrt{1-T2}$ ,
  E0 → 1,  $\Gamma$  → 0.1,  $\phi_{usb}$  →  $\phi_0 + \phi_{rf}$ ,  $\phi_{lsb}$  →  $\phi_0 - \phi_{rf}$ ,  $\phi_{rf}$  →  $\frac{\pi}{4}$ };

In[14]: Prefl $\Omega$  /. reflparams /. reflcparams;

In[15]: plotPrefl $\Omega$  = Prefl $\Omega$  /. reflparams /. reflcparams //. values;

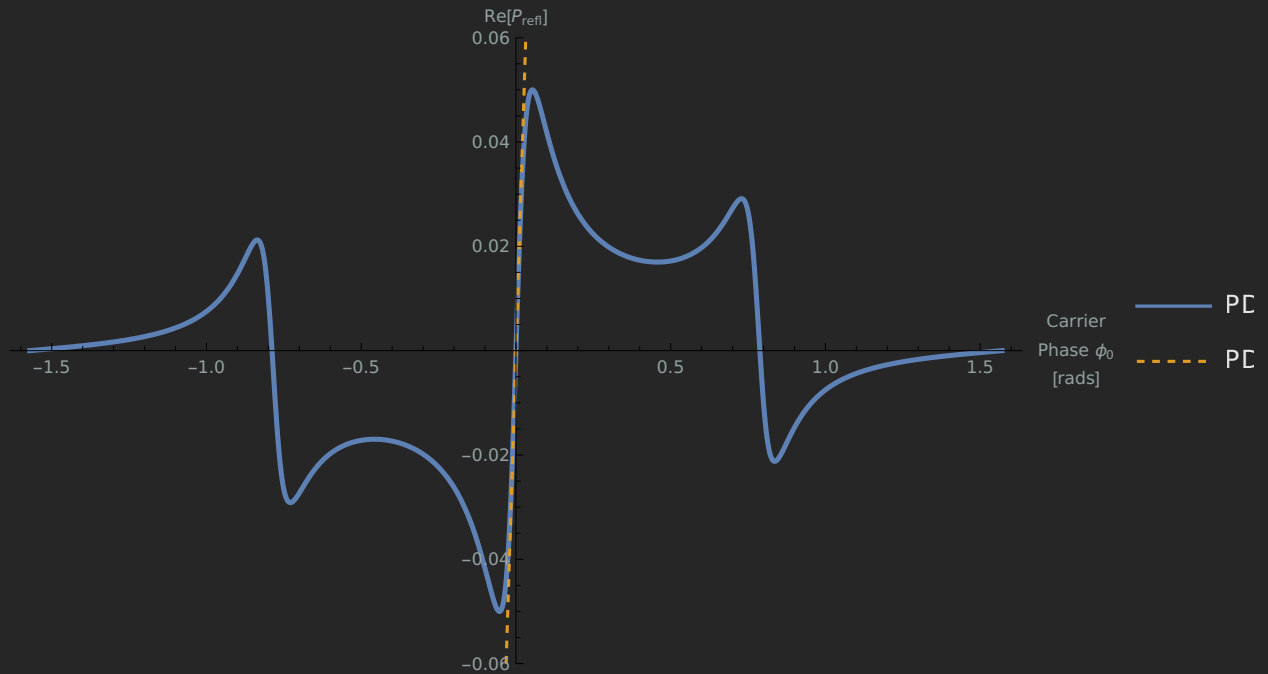
In[16]: plotdPrefl $\Omega$ d $\phi_0$ at0 = dPrefl $\Omega$ d $\phi_0$ at0 //. values;

In[20]: Plot[{Re[plotPrefl $\Omega$ ], Re[plotdPrefl $\Omega$ d $\phi_0$ at0  $\phi_0$ ]}, { $\phi_0$ ,  $-\frac{\pi}{2}$ ,  $\frac{\pi}{2}$ },
  PlotRange → {-0.06, 0.06}, PlotStyle → {Thickness[0.005], Dashed},
  PlotLegends → {"PDH Error Signal  $P_J \Rightarrow D(\phi_0)$ ", "PDH discriminant  $\frac{dP_J \Rightarrow D}{d\phi_0} * \phi_0$ "},
  AxesLabel → {"Carrier\nPhase  $\phi_0$ \n[rads]", "Re[ $P_J \Rightarrow D$ "]}, ImageSize → Large]

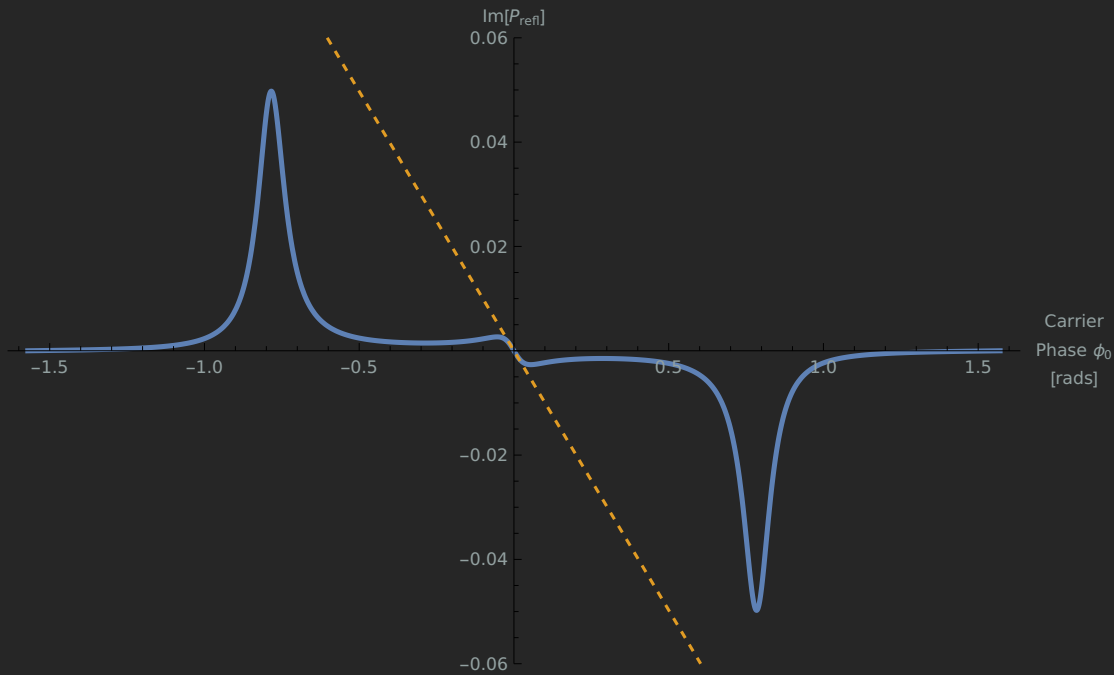
In[21]: Plot[{Im[plotPrefl $\Omega$ ], Im[plotdPrefl $\Omega$ d $\phi_0$ at0  $\phi_0$ ]}, { $\phi_0$ ,  $-\frac{\pi}{2}$ ,  $\frac{\pi}{2}$ },
  PlotRange → {-0.06, 0.06}, PlotStyle → {Thickness[0.005], Dashed},
  AxesLabel → {"Carrier\nPhase  $\phi_0$ \n[rads]", "Im[ $P_J \Rightarrow D$ "]}, ImageSize → Large]

```

Out[20]:



Nt sZl033



Manipulate plot of PDH error signal $\frac{P_{\text{refl}}}{\Gamma}(\phi_0, \phi_{\text{rf}})$

For fun, in the manipulate plot, I've added in the Demod Angle ζ , which is just a simple rotation of the detected I and Q quadrature.

The way to consider the demod phase is similar to the arbitrary phase accrued while transmitting to the photodetector.

We can explicitly consider it while demodulating by demodulating over $\text{Cos}[\Omega t + \zeta]$ and $\text{Sin}[\Omega t + \zeta]$.

If we set $\zeta = \frac{\pi}{2}$, we'll flip which quadrature our signal is in.

```
manivalues2 = {L -> 1, r1 -> Sqrt[1 - T1], r2 -> Sqrt[1 - T2], E0 -> 1, phiusb -> phi0 + phi_rf, phlsb -> phi0 - phi_rf}
```

Nt sZl033

```
{L -> 1, r1 -> Sqrt[1 - T1], r2 -> Sqrt[1 - T2], E0 -> 1, phiusb -> phi0 + phi_rf, phlsb -> phi0 - phi_rf}
```

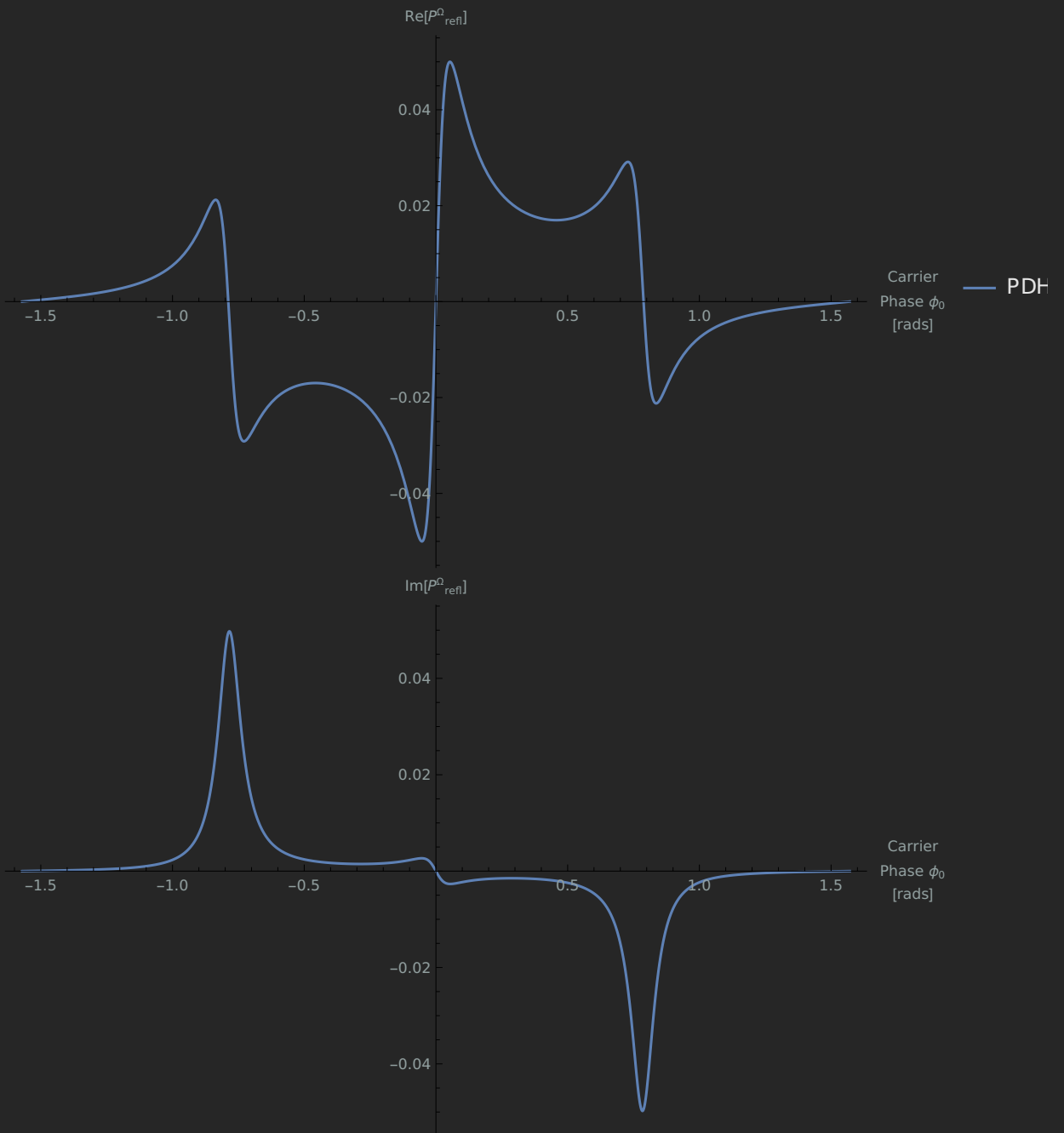
```
maniPreflOmega[phi0_, phi_rf_, Gamma_, T1_, T2_] :=  
  Evaluate[PreflOmega /. reflparams /. reflcparams //. manivalues2]
```

```

Manipulate[
  Column[
    Plot[Re[maniPreFlΩ[φ0, φrf, Γ, T1, T2] Exp[I ζ]], {φ0, -π/2, π/2}, PlotRange → Full,
      PlotLegends → {"PDH Error Signal Prefl(φ0)", "PDH discriminant  $\frac{dP_{refl}}{d\phi_0} * \phi_0$ "},
      AxesLabel → {"Carrier\nPhase φ0\n[rads]", "Re[Prefl"]},
      ImageSize → Large, PlotPoints → 200],
    Plot[Im[maniPreFlΩ[φ0, φrf, Γ, T1, T2] Exp[I ζ]], {φ0, -π/2, π/2},
      PlotRange → Full, AxesLabel → {"Carrier\nPhase φ0\n[rads]", "Im[Prefl"]},
      ImageSize → Large, PlotPoints → 200]
  ],
  {{φrf, π/4, "RF Phase φrf [rads]"}, 0, π/2},
  {{Γ, 0.1, "RF Modulation Depth Γ [rads]"}, 0, 0.5},
  {{T1, 0.1, "Input Mirror Transmission T1"}, 0, 1},
  {{T2, 0.1, "End Mirror Transmission T2"}, 0, 1},
  {{ζ, 0, "Demod Angle ζ [rads]"}, -π, π},
  Paneled → False
]

```

Nt 12



11. Plot P_{refl}^{Ω} as a phasor as a function of $\varphi_0 \in [-\frac{\pi}{2}, \frac{\pi}{2}]$

Below we evaluate our P_{refl}^{Ω} signal as a phasor.

The carrier resonance is the line almost perfectly along the real axis.

The circles are the RF sideband sidelobes.

Those circles are representative of the reflection of the RF sidebands off the cavity, rotated by either + or -90°.

If we increase the cavity finesse by lowering the mirror transmission T2 or T1, our signals get stronger and cleaner,

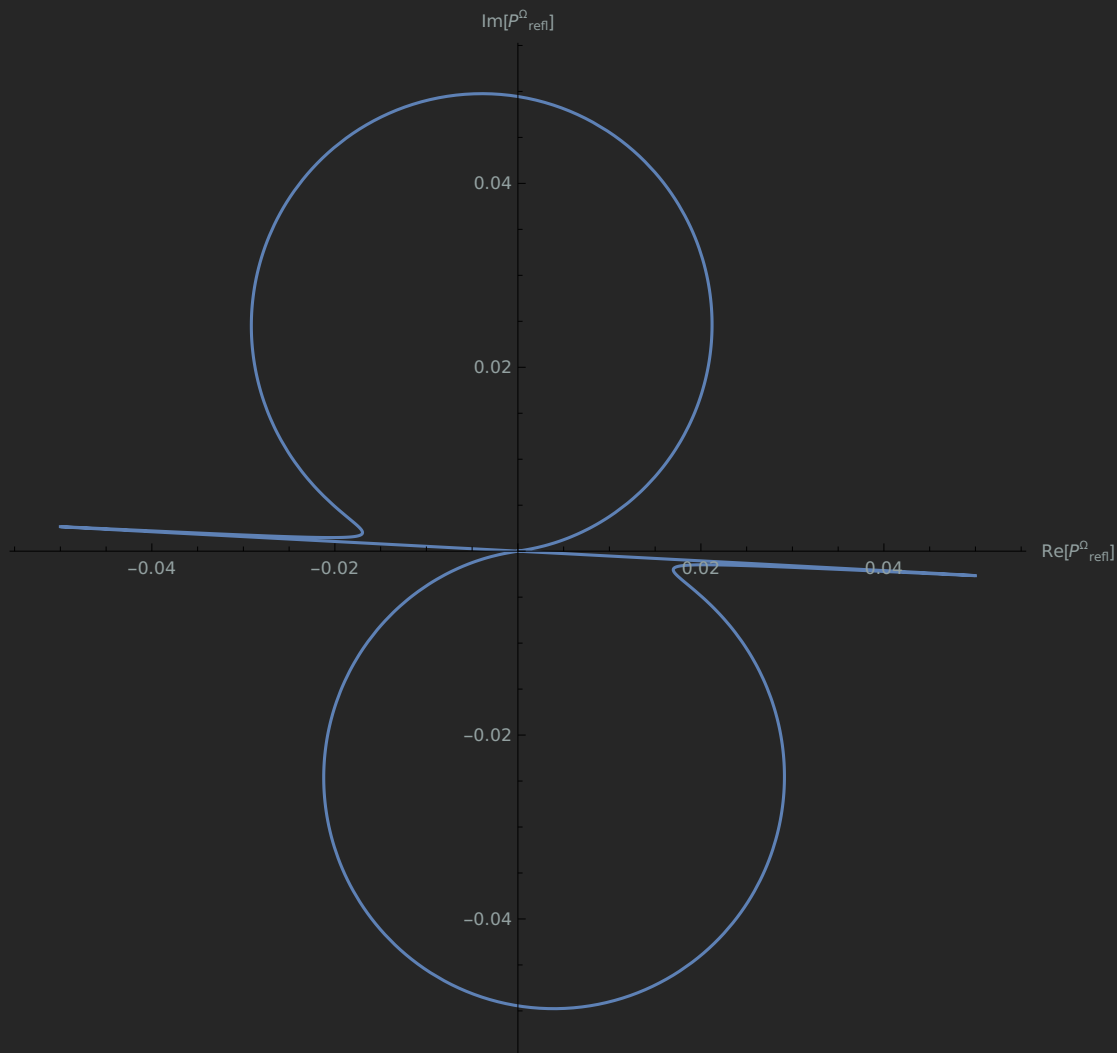
as the improved finesse of the cavity shrinks the size of the resonance, preventing mixing.

```

In[21]: ParametricPlot[{Re[plotPreFlΩ], Im[plotPreFlΩ]}, {φ0, -π/2, π/2}, AspectRatio → 1,
PlotRange → Full, AxesLabel → {"Re[PreflΩ]", "Im[PreflΩ]"}, ImageSize → Large]

```

Out[21]:



Manipulate plot of PDH phasor

```

Manipulate[
  ParametricPlot[
    {Re[maniPreflΩ[φ0, φrf, Γ, T1, T2] Exp[I ζ]], Im[maniPreflΩ[φ0, φrf, Γ, T1, T2] Exp[I ζ]]},
    {φ0, - $\frac{\pi}{2}$ ,  $\frac{\pi}{2}$ }, PlotRange → Full, PlotLegends → {"PDH Error Signal Prefl(φ0)"},
    AxesLabel → {"Re[PreflΩ]", "Im[PreflΩ]"}, ImageSize → Large, PlotPoints → 200],
    {{φrf,  $\frac{\pi}{4}$ , "RF Phase φrf [rads]"}, 0,  $\frac{\pi}{2}$ },
    {{Γ, 0.1, "RF Modulation Depth Γ [rads]"}, 0, 0.5},
    {{T1, 0.1, "Input Mirror Transmission T1"}, 0, 1},
    {{T2, 0.1, "End Mirror Transmission T2"}, 0, 1},
    {{ζ, 0, "Demod Angle ζ [rads]"}, -π, π},
    Paneled → False
  ]

```

Nt 8/11

